

About the WS Guinness Global Quality Mid Cap Fund

The WS Guinness Global Quality Mid Cap Fund is managed for capital growth and holds a concentrated portfolio of quality growth businesses, biased towards mid-cap companies, in any industry and in any developed region.

Firm-Wide Exclusions

- **Weapons**

We exclude companies that have been identified by credible third parties as being directly involved in the design, manufacture or sale of cluster munitions, landmines and biological and chemical weapons.

- **Thermal Coal**

We exclude companies that generate more than 30% of revenues via thermal coal extraction or thermal coal power generation.

Fund-Specific Exclusions

- **Tobacco**

We exclude all tobacco producers and exclude companies that have a revenue contribution of 10% or more from tobacco-related products or services.

Policy implementation

To implement this policy, we use exclusion lists of companies based on information provided by independent third-party sources. We update the exclusion lists annually on a best-efforts basis.

We will not knowingly invest in any company that breaches this policy. In the event that we identify a company in our portfolios that does not comply with these policy requirements, we will contact the company directly for confirmation of their involvement in an excluded activity. Following confirmation of their involvement in this activity, we will divest any such holdings within 90 business days.

We monitor compliance in respect of this policy. However, due to the complexity of the issue and the evolution of company business models, there may be occasions when relevant securities appear in portfolios in error. Should this occur, we will seek to divest from any such holdings within 90 business days.

Important Information

This document is provided for information only. All the information contained in it is believed to be reliable but may be inaccurate or incomplete; it should not be relied upon. It is not an invitation to make an investment, nor does it constitute an offer for sale.

The documentation needed to make an investment, including the Prospectus, Supplement, KIID/KID and the Application Form, is available from our website www.guinnessgi.com.

The fund invests mainly in shares, and the value of these may fall or rise due to a number of factors, including the performance of the company and general stock market and exchange rate fluctuations. The value of your investment may rise or fall, and you could get back less than you invest. Past performance is not a guide to future performance. Further information about risks can be found in the Prospectus.

The Investment Manager, Guinness Asset Management Ltd, is domiciled in the United Kingdom and is authorised and regulated by the Financial Conduct Authority.

WS Guinness Investment Funds, the umbrella fund with the WS Guinness sub-funds, is domiciled in the United Kingdom and is authorised and regulated by the Financial Conduct Authority as a UCITS fund.